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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below. Patent Department Lutron Electronics Company, Inc. 7200 Suter Road Coopersburg, PA 18036-1299 MARKE. ROSE Mark E Rose (Signature 18 AUGUST APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/887,848 06/22/2001 Robert C. Newman IR P99-16 8629 TLE OF INVENTION: ELECTRONIC BALLAST APPLN. TYPE SMALL ENTITY ISSUE FRE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional \$1400 \$300 \$1700 09/13/2005 EXAMINER ART UNIT CLASS-SUBCLASS LEE, WILSON 2821 315-224000 Change of correspondence address or indication of "Fee Address" (37 R 1.363). 2. For printing on the patent front page, list 1 Mark E. Rose (1) the names of up to 3 registered patent attorneys Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as americal 2006 2BESHAH2 00000033 122421 registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name (1501 3 1400.00 DA listed, no name will be printed. 69887848 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. JUN. NO DA 02 FC:1504 ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) Lutron Electronics Co., Inc. Coopersburg, PA case check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 💆 Corporation or other private group entity 🚨 Government L The following fee(s) are enclosed: 4b. Payment of Fee(s): Issue Fee $oldsymbol{\square}$ A check in the amount of the fee(s) is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies ___ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 122421 (enclose an extra copy of this form). Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). he Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

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Mark E. Rose Date 18 AUGUST 2006 Authorized Signature _ MARK E. ROSE Registration No. 42,113 Typed or printed name

his collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) 1 application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and thratting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete is form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. ox 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, lexandria, Virginia 22313-1450.

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MARK E. ROSE (Depositor's name) Mark E. Rose 18 AUGUST 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/887,848	06/22/2001	Robert C. Newman JR.	P99-16	8629

TITLE OF INVENTION: ELECTRONIC BALLAST

APPLN. TYPE	SMALL ENTITY	ISSUE FEE		PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1400		\$300	\$1700	09/13/2005	
EXAMINER		ART UNIT		CLASS-SUBCLASS]		
LEE, WILSON		2821	_	315-224000	•		
CFR 1.363). Change of correspon Address form PTO/SB/I "Fee Address" indica PTO/SB/47; Rev 03-02 Number is required. ASSIGNEE NAME AN	ation (or "Fee Address" Indice or more recent) attached. Us D RESIDENCE DATA TO E is an assignee is identified be in 37 CFR 3.11. Completion	Correspondence ation form e of a Customer BE PRINTED ON Telow, no assignee of this form is NO	(1) the ma or agents (2) the na registered 2 registered listed, no THE PATEN' data will app T a substitute	nting on the patent front page, limes of up to 3 registered pater OR, alternatively, me of a single firm (having as attorney or agent) and the named patent attorneys or agents. If name will be printed. T (print or type) Dear on the patent. If an assign for filing an assignment. CE: (CITY and STATE OR CO	a member a 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	k E. Rose	
	ectronics Co.	, Inc.	Coop	ersburg, PA	•	nun entity [] Governme	
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a. Applicant claims	SMALL ENTITY státus. See	37 CFR 1.27.	D b. Appli	cant is no longer claiming SMA	LL ENTITY status. See 37 (FR 1.27(g)(2).	
The Director of the USPTO NOTE: The Issue Fee and I uterest as shown by the rec) is requested to apply the Iss Publication Fee (if required) cords of the United States Pat	ue Fee and Publica will not be accepted ent and Trademark	tion Fee (if and from anyon Office.	ny) or to re-apply any previous e other than the applicant; a reg	ly paid issue fee to the applic istered attorney or agent; or t	ation identified above. he assignee or other party	
Authorized Signature	~ P. C 1				8 AUGUST 200	· · · · · · · · · · · · · · · · · · ·	
Typed or printed name	MARK E.	ROSE		_ Registration	1 No. 42,113	<u> </u>	

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